

OH Sub No. 43 (a)

4/22/2008

Recd From: Dr. VIVIAN HOWARD

**2006 CRITICAL REVIEW**

ISSN 1047-3289 *J. Air & Waste Manage. Assoc.* 56:706-742  
Copyright 2006 Air & Waste Management Association



C. Arden Pope III



Douglas W. Dockery

### Health Effects of Fine Particulate Air Pollution: Lines that Connect

**C. Arden Pope III**

*Department of Economics, Brigham Young University, Provo, UT*

**Douglas W. Dockery**

*Department of Environmental Health, Harvard School of Public Health, Boston, MA*

## No safe level of exposure

Recent empirical evidence about the shape of the PM concentration-response function is not consistent with a well-defined no-effects threshold. Concentration-re-

Environmental Protection Agency

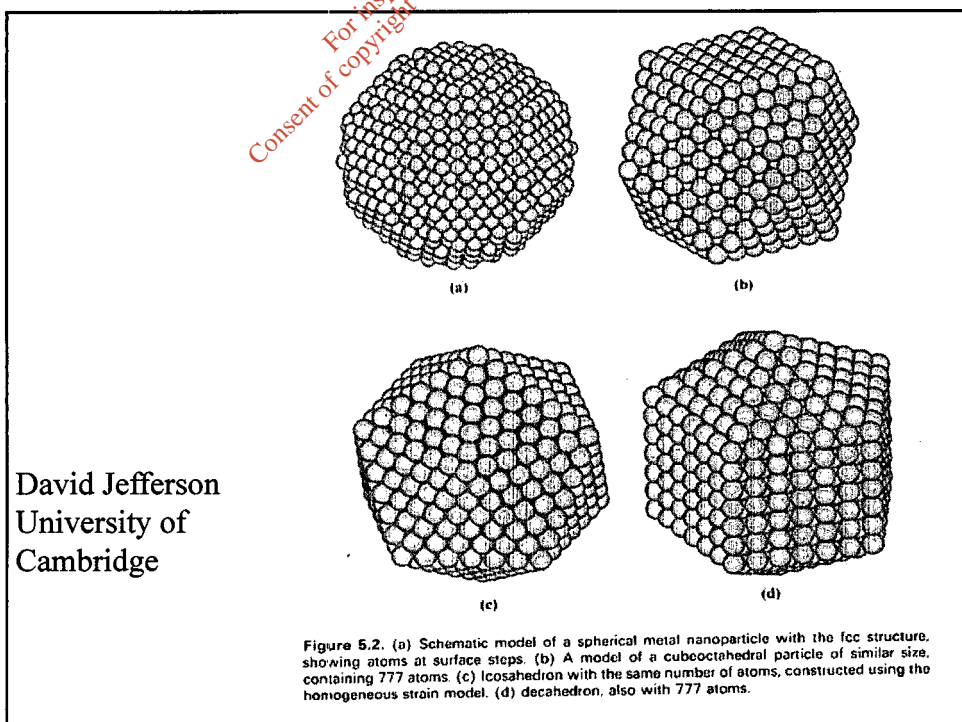
22 APR 2008

ORAL HEARING  
RECEIVED

## Little or no knowledge of the toxicity of particulate effluvia

### Relative Toxicity and Role of Sources and Copollutants

One of the biggest gaps in our knowledge relates to what specific air pollutants, combination of pollutants, sources of pollutants, and characteristics of pollutants are most responsible for the observed health effects. Although the literature provides little evidence that a single major or trace component of PM is responsible for the observed health effects,<sup>473</sup> various general characteristics may affect the relative toxicity of PM pollution. For example, with regard to particle size, the epidemiological, physiological, and toxicological evidence suggests that fine particles (indicated by  $PM_{2.5}$ ) play a substantial role in affecting human health. These fine particles can be breathed



Micro '98 – London  
Wed 8<sup>th</sup> July 1998

“Particulate Aerosols –  
Physical, Chemical &  
Bio-pathological  
Properties”

organised by the

Royal Microscopical  
Society

ISBN 1-85996-172-X

## Particulate Matter:

Properties and effects on health



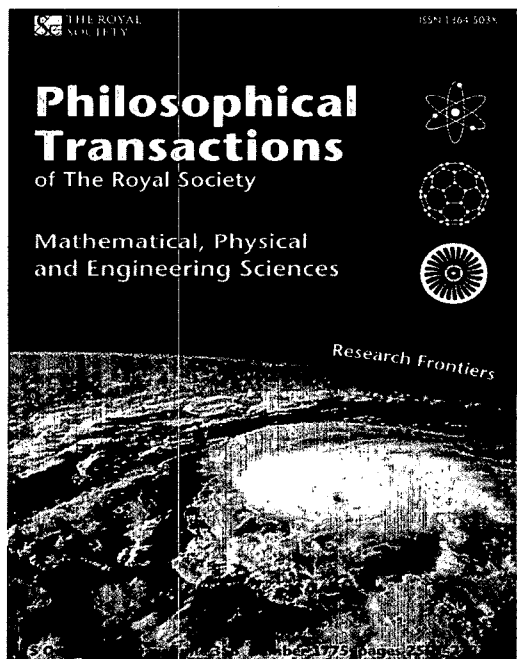
Edited by

R. L. Maynard and G. V. Howard


Royal Society  
Discussion  
Meeting 15<sup>th</sup> &  
16<sup>th</sup> March 2000.

“Ultrafine  
Particles in the  
Atmosphere”

Organised by L.M.Brown,  
N. Collings, R.M.Harrison,  
A.D.Maynard & R.L.Maynard



**Royal Microscopical Society**  
37/38 St Clements  
Oxford OX4 1AJ  
Telephone: +44 (0) 1865 248768 Fax: +44 (0) 1865 791237  
email: [clare@mms.org.uk](mailto:clare@mms.org.uk)  
Contact name: Clare Oxenbury

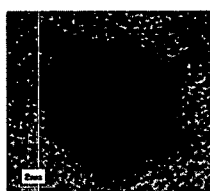


**Royal  
Microscopical  
Society**

**NANOTOX 2004**

**Nano Particles and  
Nanostructured Materials:  
Implications for Health**

13 and 14<sup>th</sup> January 2004  
Daresbury Laboratories  
Warrington, Cheshire, U.K.

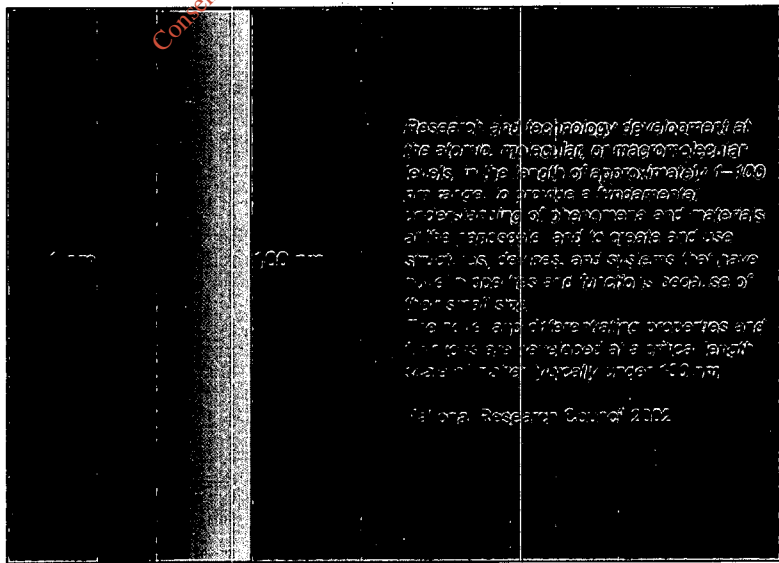


Don made with support of University of Leeds, Brown, Institute for Materials Research, Leeds

Co-sponsors : EMAG, Institute of Physics  
CME Accreditation is being sought

For inspection purposes only. Copyright owner required for any other use.

## Where is nanoscale?



Research and technology development at the atomic, molecular, or macromolecular levels, in the length of approximately 1-100 nm range, to provide a fundamental understanding of phenomena and materials at the nanoscale and to create and use structures, devices, and systems that have novel properties and functions because of their small size.

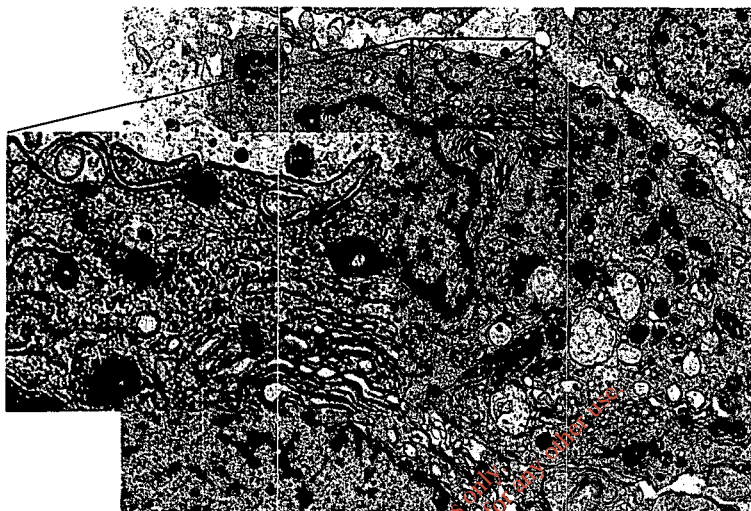
The size and structure-related properties and functions are related to a critical length scale of matter, typically under 100 nm.

National Research Council 2002

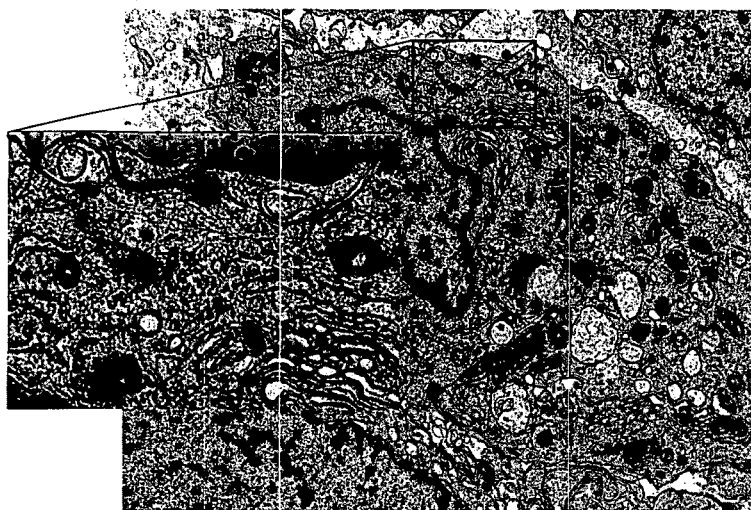
10<sup>-10</sup> 10<sup>-9</sup> 10<sup>-8</sup> 10<sup>-7</sup> 10<sup>-6</sup> 10<sup>-5</sup> 10<sup>-4</sup> 10<sup>-3</sup> 10<sup>-2</sup> 10<sup>-1</sup> metres



### Nanoparticle view of human nasal epithelium



### Nanoparticle view of human nasal epithelium



## Pulmonary defence mechanisms

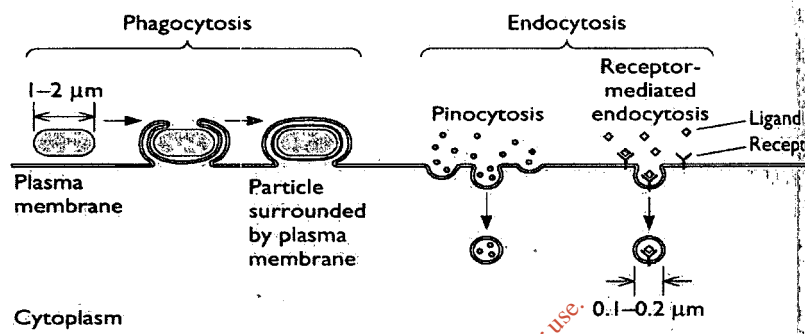
- **Nose, pharynx & larynx:** impaction
- **Trachea & bronchi:** muco-ciliary escalator and ingestion
- **Terminal bronchi and alveolar air space:** alveolar macrophages, engulf particles and transport to lymphatics. They do not easily recognise particles of < 65 nm and are easily overwhelmed by large numbers

## Temporal perspectives

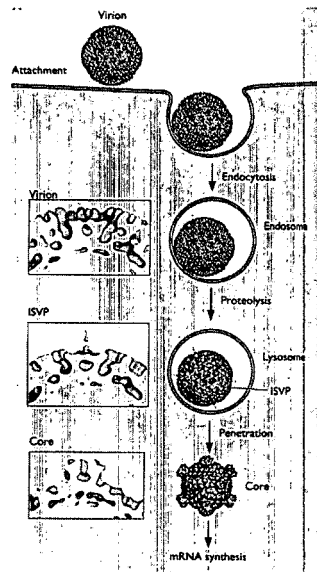
- Life started about 3.5 billion years ago
- Unicellular organisms primarily internalise larger objects by pinocytosis
- Multicellular organisms appeared about 450 million years ago.
- Although multicellular organisms have complex digestive systems, they rely totally on the ability of cells to engulf particles



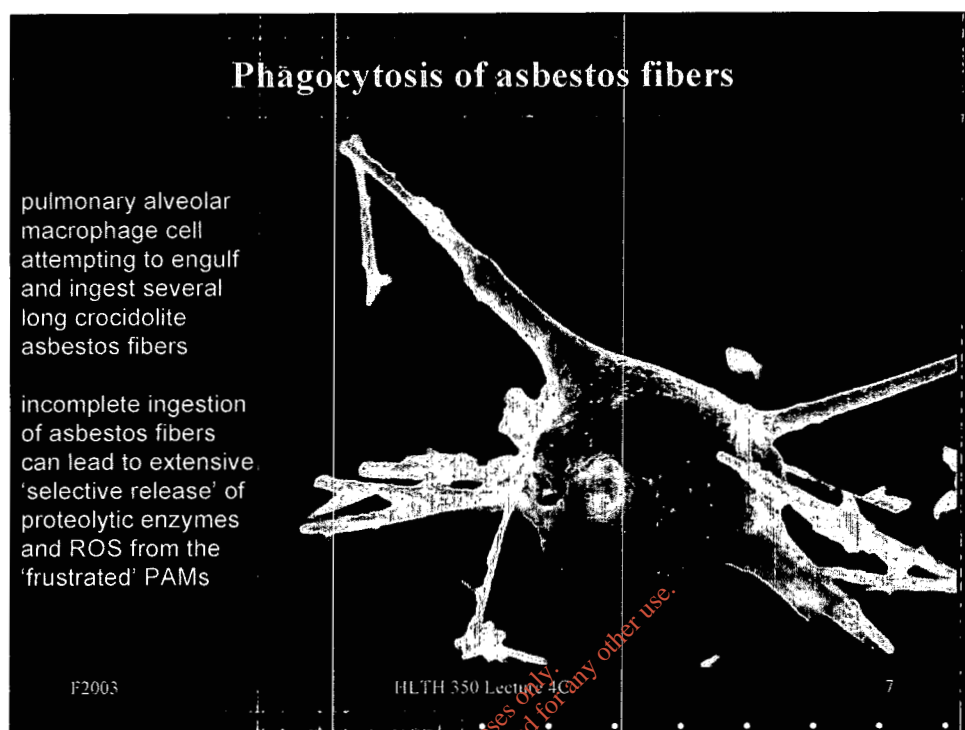
# Engulfing mechanisms



# Caveolar mechanism







## Definitions of particle size

- **Coarse particles** =  $PM_{10}$  = particles with average diameter less than  $10\ \mu m$
- **Fine particles** =  $PM_{2.5}$  = particles with average diameter less than  $2.5\ \mu m$
- **Ultrafine particles** =  $PM_{0.1}$  = particles with average diameter less than  $100\ nm$

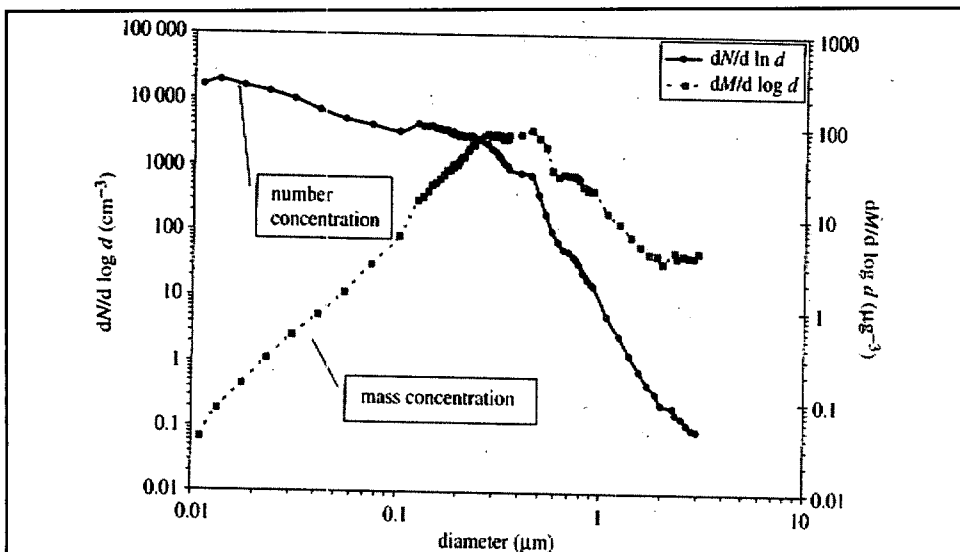
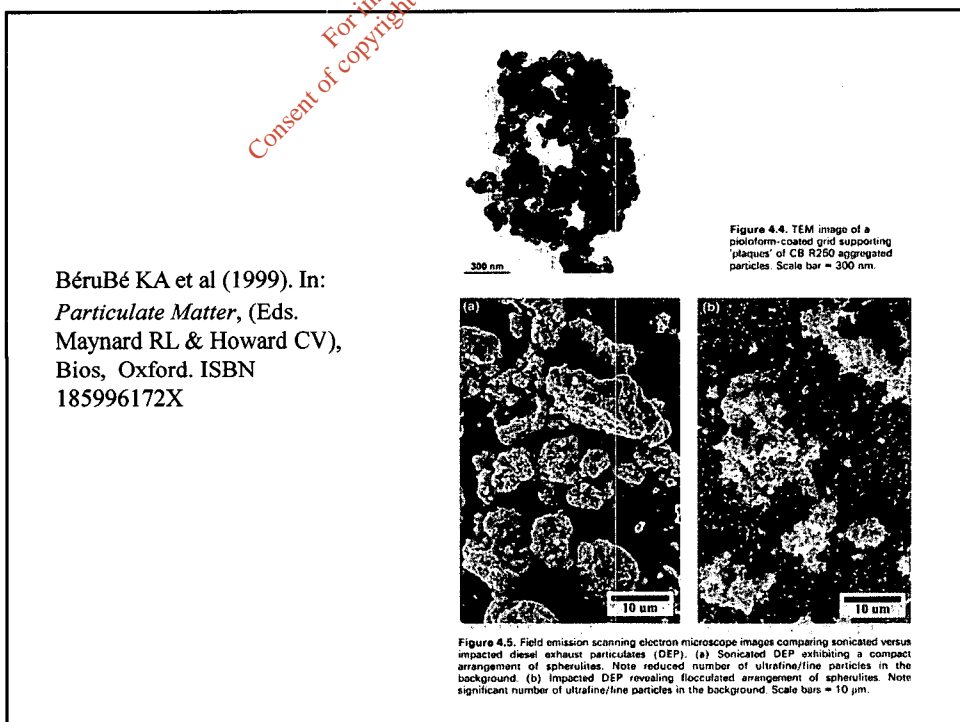


Figure 1. Typical particle number and mass distribution averages from approximately 10 000 single measurements, Erfurt. From Wichmann *et al.* (2000a).

Wichmann HE & Peters A (2000). *Phil. Trans. R. Soc. Lond. A* 358: 2751-2769



BéruBé KA et al (1999). In: *Particulate Matter*, (Eds. Maynard RL & Howard CV), Bios, Oxford. ISBN 185996172X

Figure 4.4. TEM image of a platinum-coated grid supporting 'plaques' of CB R250 aggregated particles. Scale bar = 300 nm.

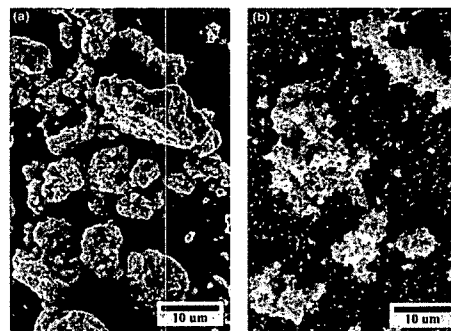
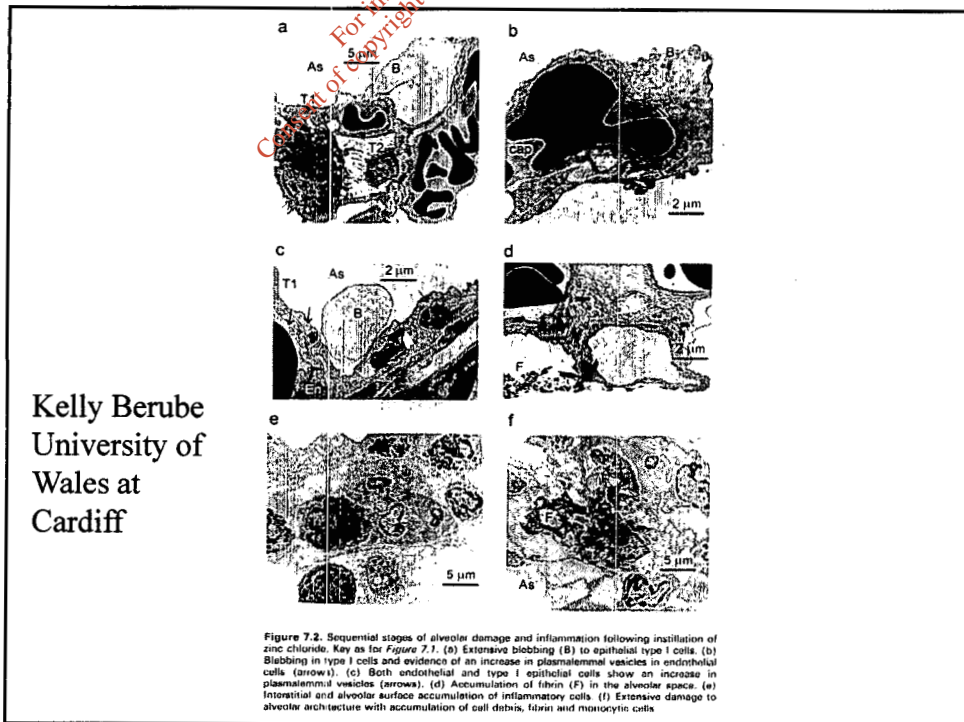
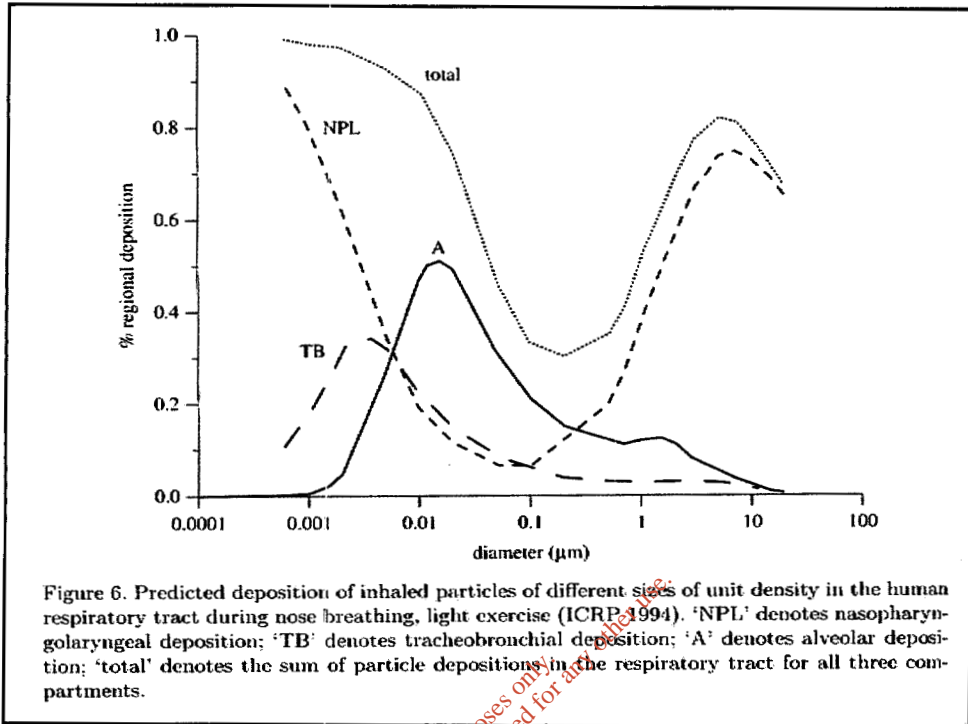


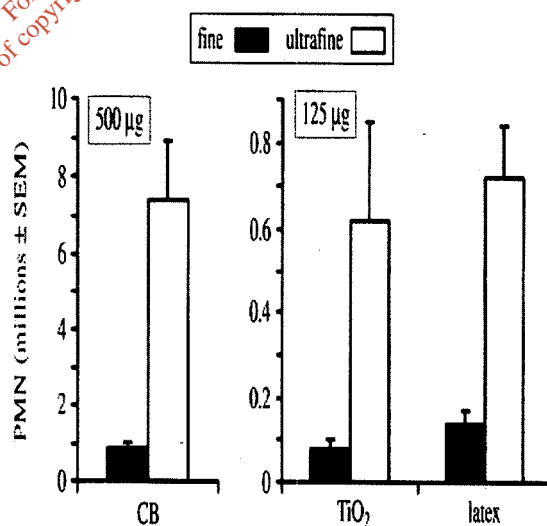
Figure 4.5. Field emission scanning electron microscope images comparing sonicated versus impacted diesel exhaust particulates (DEP). (a) Sonicated DEP exhibiting a compact arrangement of spherulites. Note reduced number of ultrafine/fine particles in the background. (b) Impacted DEP revealing flocculated arrangement of spherulites. Note significant number of ultrafine/fine particles in the background. Scale bars = 10 μm.



**Table 8.5.** Percentage PMN in lavage 6 h following instillation of 125 mg of carbon black of various primary particle sizes (from Li *et al.*, in press)

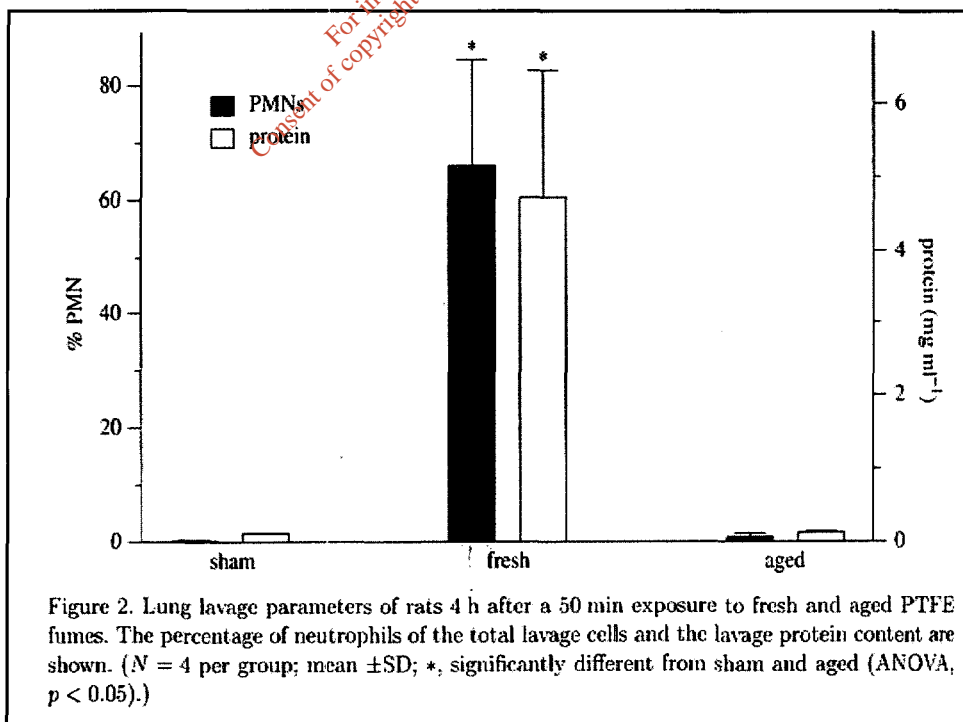
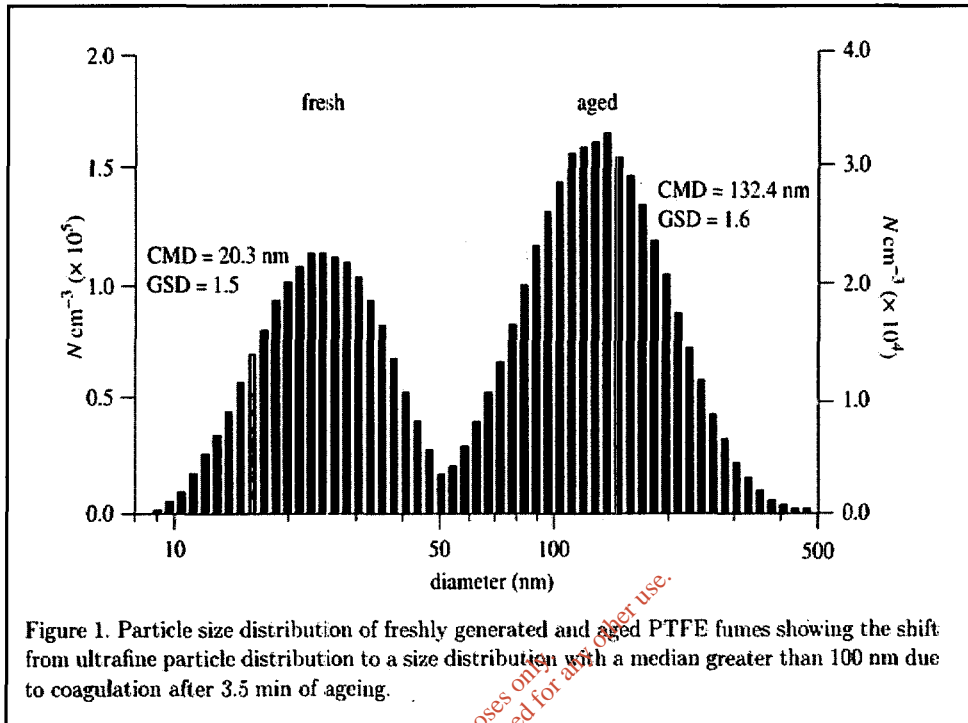
Carbon black primary particle size (nm)	Neutrophils in the bronchoalveolar lavage (%) (mean (SEM))
14	39.9 (4.2)
50	13.7 (2.6)
260	4.2 (0.73)

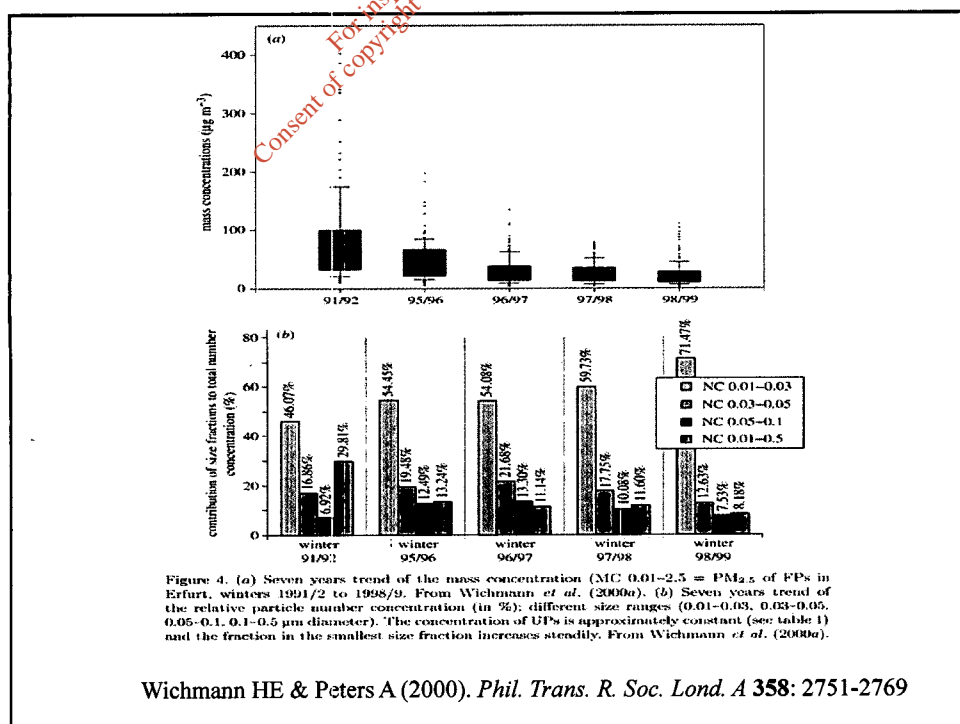
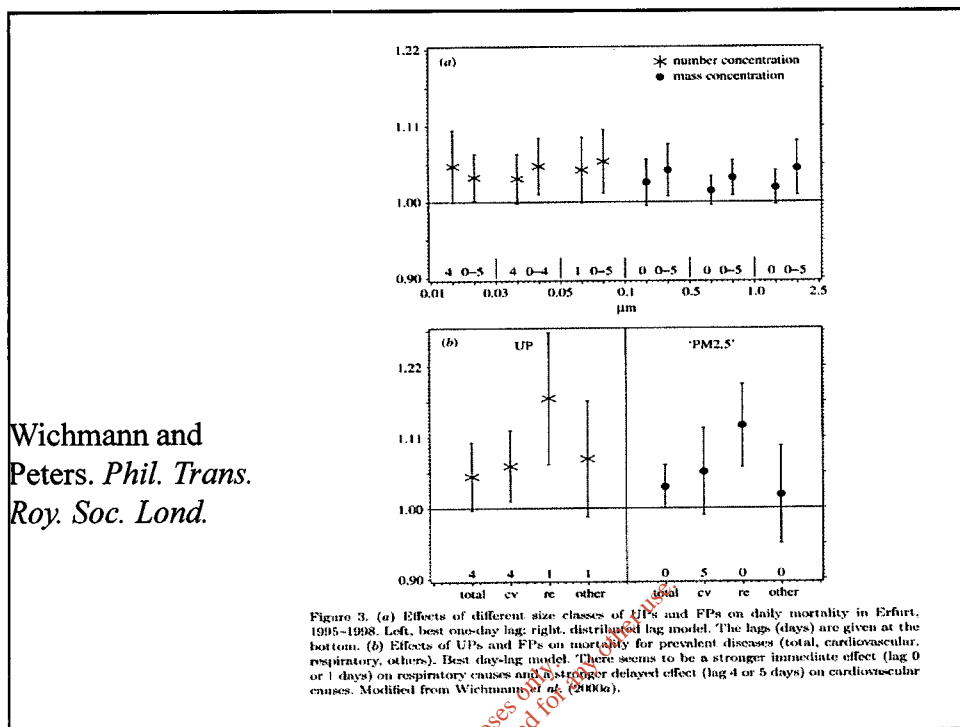
For inspection purposes only.  
Consent of copyright owner required for any other use.

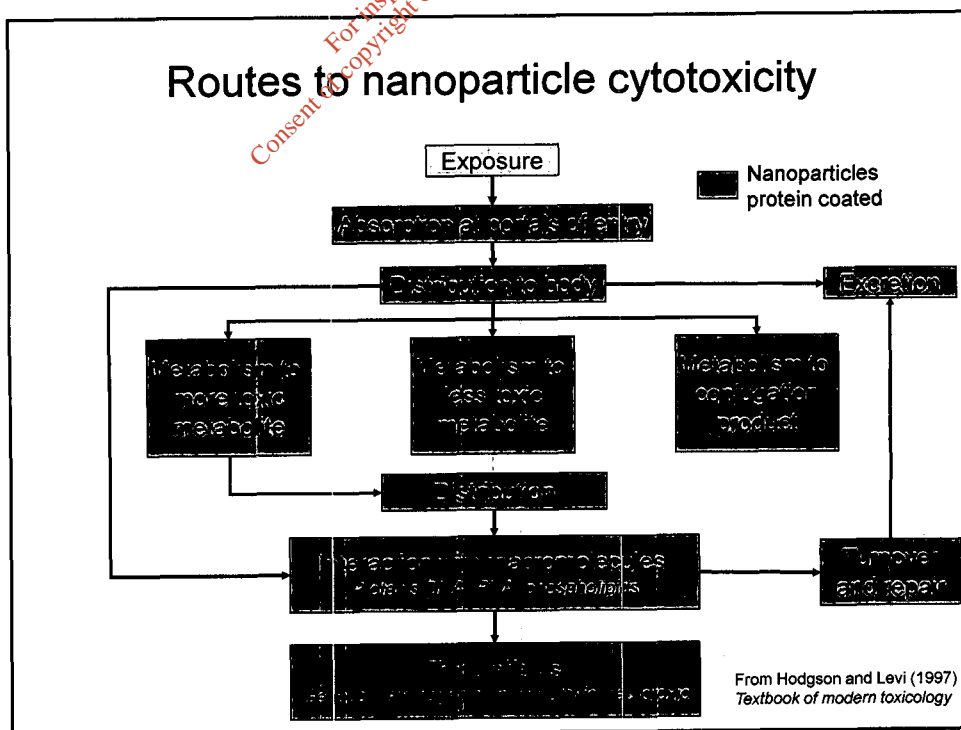
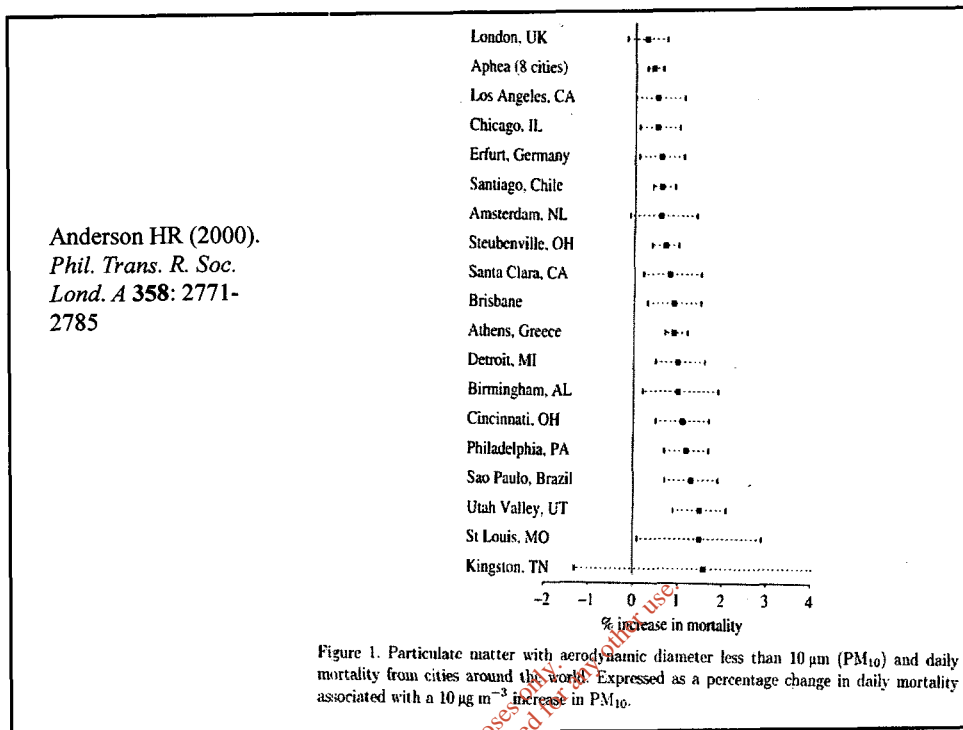


Ken Donaldson  
Napier  
University

Figure 1. Inflammation, measured as the number (mean  $\pm$  SEM of three rats) of neutrophils (PMN) in the lavage of rats instilled with either 125 or 500  $\mu$ g of fine or ultrafine carbon black (CB), titanium dioxide (TiO<sub>2</sub>) or latex 24 h previously.

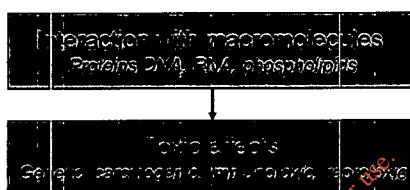








## What are the sites of cellular vulnerability?



## Sites of especial cellular vulnerability

- Maintenance of the integrity of cellular membranes
  - Critical for ionic and osmotic homeostasis
- Aerobic respiration
  - Mitochondrial oxidative phosphorylation and ATP production
- Protein synthesis
  - Structural integrity of cellular compartments
  - Preservation of intermediary metabolism
- Preservation of the integrity of the genetic apparatus of the cell
  - Prevention of alterations to genetic material
  - Repair of damage to DNA

## Mechanisms of nanoparticle cytotoxicity

- **Catalysis**
  - Often oxidative damage
- **Membrane perturbation**
  - Lipid peroxidation
  - Surfactant effects
- **Chaperone effects on proteins**
  - Pathological effects on folding
- **Physical damage**
  - Accumulation at extracellular or intracellular sites

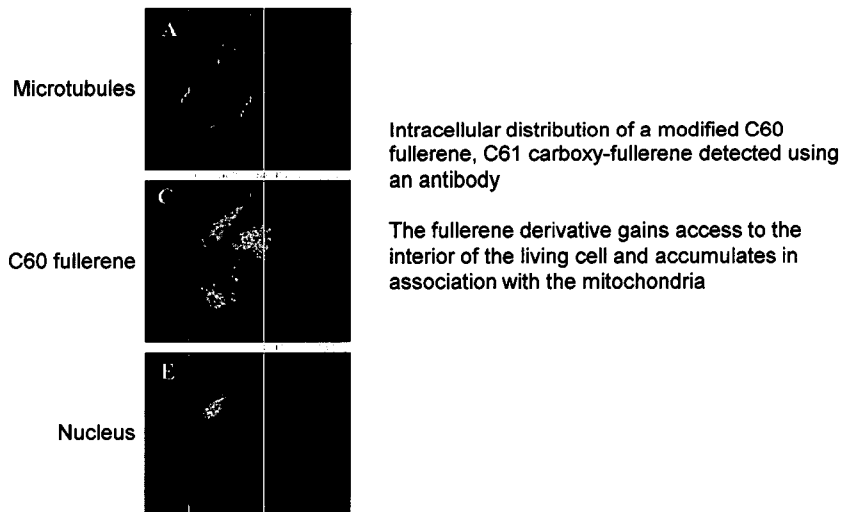
## Mechanisms of nanoparticle cytotoxicity

- **Catalysis**
  - Often oxidative damage
- **Membrane perturbation**
  - Lipid peroxidation
  - Surfactant effects
- **Chaperone effects on proteins**
  - Pathological effects on folding
- **Physical damage**
  - Accumulation at extracellular or intracellular sites

## Mechanisms of nanoparticle cytotoxicity

- **Catalysis**
  - Often oxidative damage
- **Membrane perturbation**
  - Lipid peroxidation
  - Surfactant effects
- **Chaperone effects on proteins**
  - Pathological effects on folding
- **Physical damage**
  - Accumulation at extracellular or intracellular sites

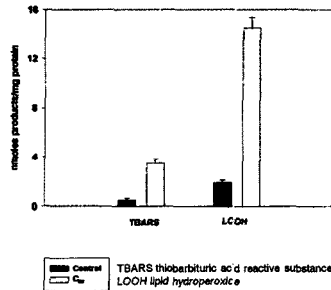
## Mechanisms of nanoparticle cytotoxicity



Foley et al (2002) *BBRC* 294 116-119

## Mechanisms of nanoparticle cytotoxicity

Lipid peroxidation in sarcoma 180 sarcoma microsomes exposed to C<sub>60</sub> plus light



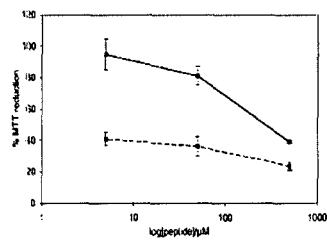
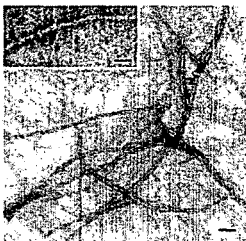
Internal membrane fractions from tumour cells are damaged by peroxidation of membrane lipids on exposure to fullerenes, which act as photosensitisers

The lipid peroxidation eventually leads to loss of membrane flexibility, a drop in trans-membrane potential, progressively increased permeability to ions and eventually cell death

Kamat et al (2000) *Toxicology* 155 55-61

For inspection purposes only. Consent of copyright owner required for any other use.

## Mechanisms of nanoparticle cytotoxicity

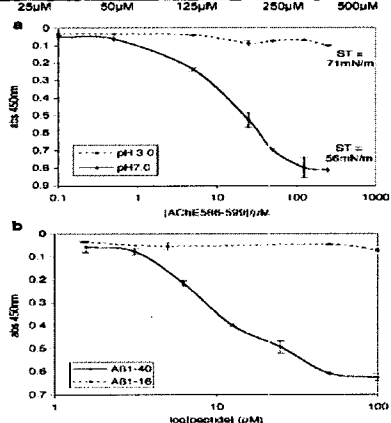


Self-assembling biological nanoparticles, in this case oligomers and fibrils of an amyloid-forming peptide, exhibit cytotoxicity to cultured neuronal cells

The mechanism was initially obscure, but became more apparent when the surfactant properties of the nanoparticles was examined

Cottingham et al (2002) *Biochem* 41 13539-47

## Mechanisms of nanoparticle cytotoxicity



Cottingham et al (2004) *Lab Invest* 84 523-9

Self-assembling biological nanoparticles, in this case oligomers and fibrils of an amyloid-forming peptide, were found to be strongly surface active

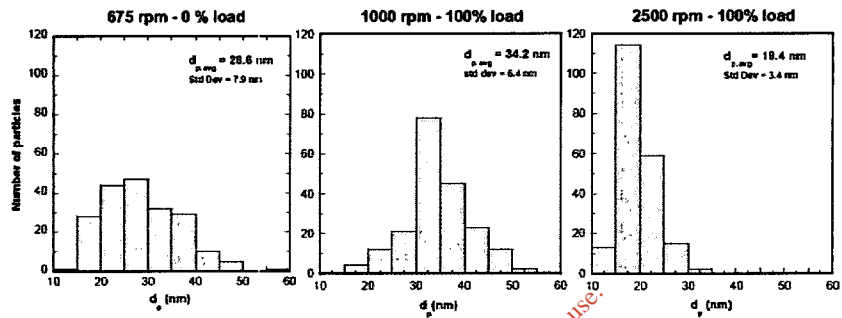
Moreover, other examples of self-assembling toxic amyloid species, such as the A-beta peptide associated with Alzheimer's Disease, are also highly surface active

The conditions under which cytotoxicity is detected closely parallel conditions under which oligomer assembly takes place and surfactant properties emerge

## Mechanisms of nanoparticle cytotoxicity

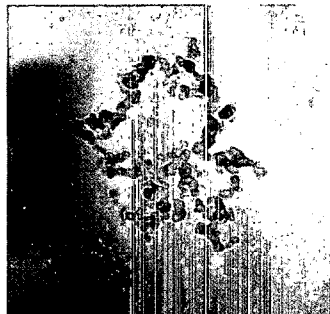
- **Catalysis**
  - Often oxidative damage
- **Membrane perturbation**
  - Lipid peroxidation
  - Surfactant effects
- **Chaperone effects on proteins**
  - Pathological effects on folding
- **Physical damage**
  - Accumulation at extracellular or intracellular sites

Engine emission particulates may be similar in scale to subcellular structures

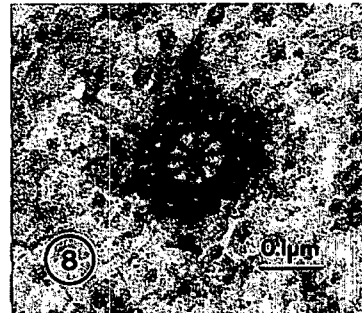


Characterization of Particulate Sizes, Microstructures and Fractal Geometry of a Light Duty Diesel Engine via Thermophoretic Sampling  
 Kyehng Lee, Jinyu Zhu and Raj Sekar (2003) Center for Transportation Research, Argonne National Laboratory

Engine emission particulates may be similar in scale to subcellular structures



Diesel particulates



Centriole  
 a subcellular protein assembly  
 critical in cytoskeletal regulation

## Outcomes of protein-nanoparticle interaction

- Nanoparticles stabilised as monodisperse suspension
- Aggregation of nanoparticles minimised
- Protein adsorbed onto nanoparticle surface
  - Protein conformation and function unaltered (e.g. antibody label)
  - Protein conformation altered with loss of function (molten globule)
  - Protein conformation altered with altered function (chaperone)

## Outcomes of protein-nanoparticle interaction

- Nanoparticles stabilised as monodisperse suspension
- Aggregation of nanoparticles minimised
- Protein adsorbed onto nanoparticle surface
  - Protein conformation and function unaltered (e.g. antibody label)
  - Protein conformation altered with loss of function (molten globule)
  - Protein conformation altered with altered function (chaperone)



## Outcomes of protein-nanoparticle interaction



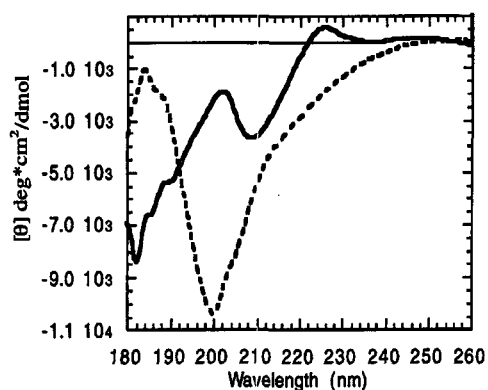
Colloidal gold nanoparticles (10nm diameter) have been stabilised with a protein, in this case an antibody that recognises a protein found in the inner membrane of mitochondria

The antibody adsorbed to the nanoparticles retains normal folding and function, targeting the gold particles to the inner membrane of the mitochondria

## Outcomes of protein-nanoparticle interaction

- Nanoparticles stabilised as monodisperse suspension
- Aggregation of nanoparticles minimised
- Protein adsorbed onto nanoparticle surface
  - Protein conformation and function unaltered (e.g. antibody label)
  - Protein conformation altered with loss of function (molten globule)
  - Protein conformation altered with altered function (chaperone)

## Outcomes of protein-nanoparticle interaction



Silica nanoparticles (9nm diameter) have been stabilised with a protein, in this case a human enzyme, carbonic anhydrase II

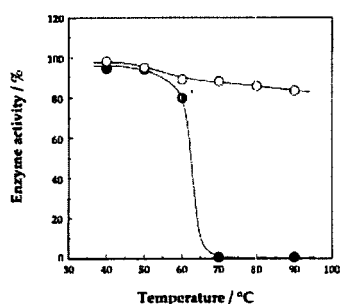
The circular dichroism spectrum shown reveals the secondary structure of the enzyme in solution (solid line; predominantly beta-sheet) and after exposure to the nanoparticles for 24 hours (dotted line; most beta structure lost)

Billsten et al (1997) *FEBS Lett* 402 67-72

## Outcomes of protein-nanoparticle interaction

- Nanoparticles stabilised as monodisperse suspension
- Aggregation of nanoparticles minimised
- Protein adsorbed onto nanoparticle surface
  - Protein conformation and function unaltered (e.g. antibody label)
  - Protein conformation altered with loss of function (molten globule)
  - Protein conformation altered with altered function (chaperone)

## Outcomes of protein-nanoparticle interaction

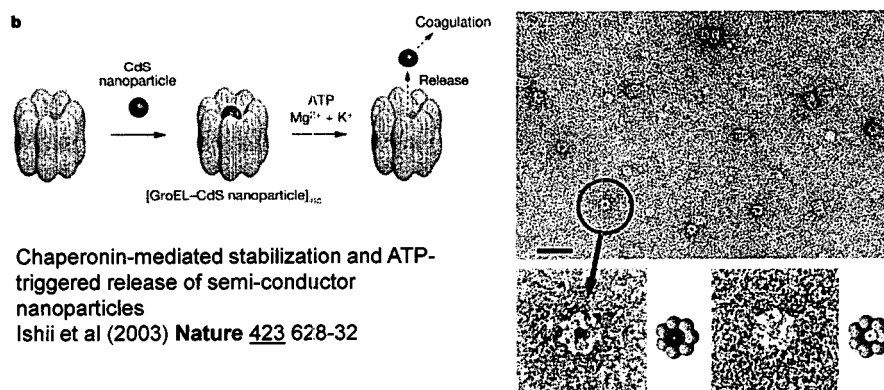


Amphiphilic hydrogel nanoparticles (18nm diameter) can act as chaperone to prevent heat damage to an enzyme

In this experiment, carbonic anhydrase was heated to different temperatures for 10 minutes, cooled and assayed for activity. The black dots show permanent heat damage to the enzyme; the open dots shows the protective chaperone effect of the nanoparticles

Akiyoshi et al (1999) *Bioconj Chem* **10** 321-324

## Cellular chaperone machinery can interact with nanoparticles

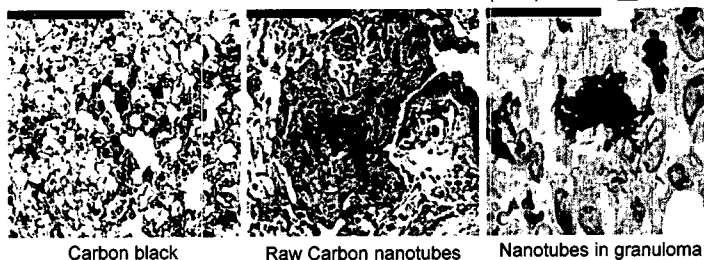


## Mechanisms of nanoparticle cytotoxicity

- Catalysis
  - Often oxidative damage
- Membrane perturbation
  - Lipid peroxidation
  - Surfactant effects
- Chaperone effects on proteins
  - Pathological effects on folding
- Physical damage
  - Accumulation at extracellular or intracellular sites

## Outcomes of protein-nanoparticle interaction

Lam et al (2004) *Tox Sci* 77 126-134



Rats were challenged by intratracheal instillation of carbon as carbon black (a low toxicity dust) or as single walled nanotubes and the lungs were examined after 90 days

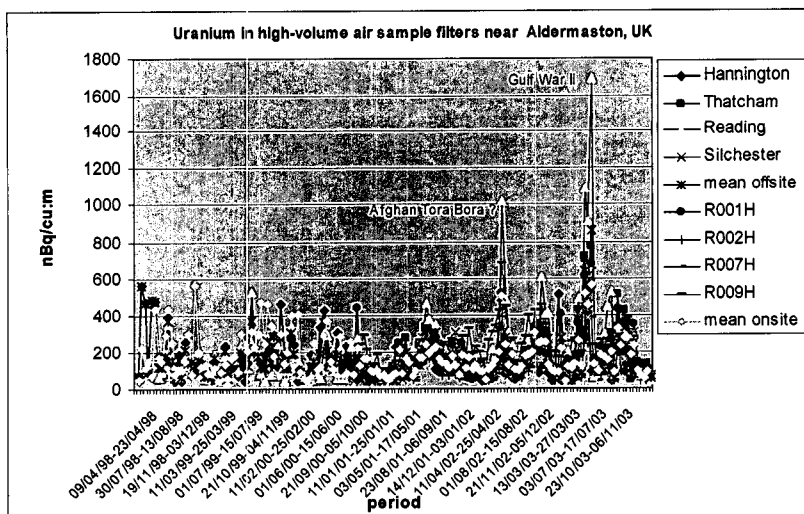
Although the carbon black has been taken up by alveolar macrophages, these cells have remained dispersed in the lung. In contrast, macrophages that have taken up nanotubes have migrated, become activated and have proliferated to form granulomas

# Can ultrafine particles travel?

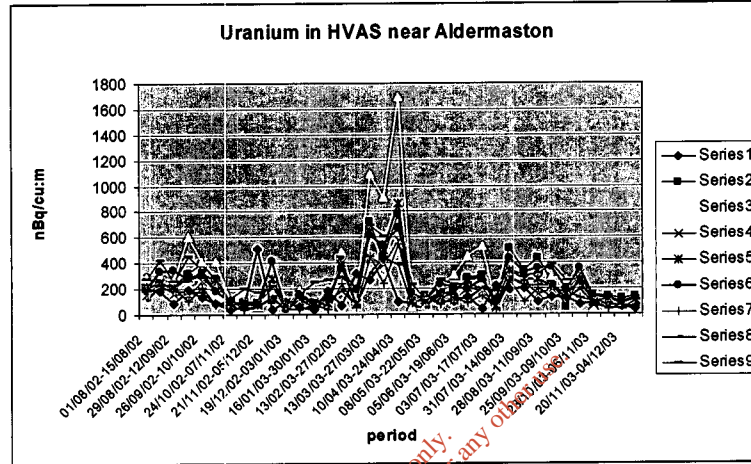
High Volume Air Samplers



# Yes, thousands of miles



# Gulf War II 'Shock and Awe'



For inspection purposes only.  
Consent of copyright owner required for any other use.